

APPLICANT FACSIMILE OF FORM PTO-1449
REV 7-80U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

SERIAL NO.

BBI-013C2CN2

09/892227

APPLICANT

Bujard, Hermann *et al.*

FILING DATE

GROUP

June 25, 2001

1638

LIST OF PUBLICATIONS CITED BY APPLICANT
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U.S. PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
JH	AA	5,464,758	11/95	Gossen <i>et al.</i>	435	69.1	
	AB	5,221,778	6/93	Byrne <i>et al.</i>	800	2	
JH	AC	5,545,808	8/96	Hew <i>et al.</i>	800	2	
	AD	5,595,895	1/97	Miki <i>et al.</i>	435	172.3	
	AE	4,833,880	5/89	Brent <i>et al.</i>	435	172.3	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
JH	AF	WO 96/01313	01/96	PCT				
JH	AG	WO 94/29442	12/94	PCT				
	AH	WO 94/18317	08/94	PCT				
	AI	WO 94/04672	03/94	PCT				
	AJ	WO 93/23431	11/93	PCT				
	AK	WO 93/04169	03/93	PCT				
	AL	WO 92/20808	11/92	PCT				
	AM	WO 92/11874	07/92	PCT				
	AN	EP 0 494 724 A2	07/92	EPO				
	AO	WO 91/19796	12/91	PCT				
	AP	WO 91/19784	12/91	PCT				
JH	AQ	WO 91/13979	9/91	PCT				
	AR	EP 0 455 687 B1	11/91	EPO				
	AS	EP 0 455 424 A2	11/91	EPO				
	AT	EP 0 332 416	09/89	EPO				

OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

JH	AU	Ackland-Berglund, C.E. and Leib, D.A. (1995) <i>BioTechniques</i> 19:216-217
	AV	Ackland-Berglund, C.E. and Leib, D.A. (1995) "Efficacy of Tetracycline-Controlled Gene Expression Is Influenced by Cell Type" <i>BioTechniques</i> 18(2):196-200
Examiner <i>Joan Lee</i>		Date Considered 1/14/05
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	BA	Agarwal, M.L. <i>et al.</i> (1995) "p53 Controls Both the G ₂ /M and the G ₁ Cell Cycle Checkpoints and Mediates Reversible Growth Arrest in Human fibroblasts," <i>Proceedings of the National Academy of Science, Sci. USA</i> , 92:8493-8497
GH	BB	Altschmied, L. <i>et al.</i> (1988) "A threonine to alanine exchange at position 40 of Tet repressor alters the recognition of the sixth base pair of <i>tet</i> operator from GC to AT", <i>The EMBO Journal</i> , 7(12):4011-4017
	BC	Bain, S.B. <i>et al.</i> (1991) "A chimeric mammalian transactivator based on the <i>lac</i> repressor that is regulated by temperature and isopropyl β -D-thiogalactopyranoside", <i>Proceedings of the National Academy of Science</i> 88: 5072-5076
GH	BD	Baniahmad, A. <i>et al.</i> (1992) "A Transferable Silencing Domain Is Present In the Thyroid Hormone Receptor, In the v-erbA Oncogene Product and In the Retinoic Acid Receptor" <i>The EMBO Journal</i> 11(3):1015-1023
GH	BE	Baumeister, R. <i>et al.</i> (1992) "Tet Repressor Tet Operator Interactions Derived From Mutants With New Recognition Specificities", <i>Structural Tools For The Analysis Of Protein-Nucleic Acid Complexes Advances In Life Sciences</i> , Birkhauser, Basel ;Boston, pp. 175-183
	BF	Baumeister, R. <i>et al.</i> (1992) "Contacts Between Tet Repressor And Tet Operator Revealed By New Recognition Specificities Of Single Amino Acids Replacement Mutants", <i>Journal Of Molecular Biology</i> , 226:1257-1270
GH	BG	Baumeister, R. <i>et al.</i> (1992) "Functional Roles Of Amino Acid Residues Involved In Forming THE Alpha-helix-turn-alpha-helix operator DNA Binding Motif Of Tet repressor From Tn10", <i>Proteins: Structure, Function, and Genetics</i> , 14(2):168-177
	BH	Bergman, M. <i>et al.</i> (1995) "Overexpressed Csk Tyrosine Kinase Is Localized in Focal Adhesions, Causes Reorganization of $\alpha_v\beta_5$ Integrin, and Interferes with HeLa Cell Spreading", <i>Molecular and Cellular Biology</i> , 15(2):711-722
	BI	Beshart, M. <i>et al.</i> (1985) "A Very Strong Enhancer Is Located Upstream of an Immediate Early Gene of Human Cytomegalovirus", <i>Cell</i> 41(2):521-530
	BJ	Bradley, A. (1991) "Modifying the mammalian genome by gene targeting", <i>Current Opinion in Biotechnology</i> 2: 832-829
GH	BK	Bradley, A. (1992) "Modifying The Mouse: Design And Desire", <i>Biotechnology</i> , 10: 534-539
	BL	Brent, R. and M. Ptashne (1984) "A Bacterial Repressor Protein or a Yeast Transcriptional Terminator Can Block Upstream Activation of A Yeast Gene" <i>Nature</i> 312:612-615
	BM	Brent R. and M. Ptashne (1985) "A Eukaryotic Transcriptional Activator Bearing the DNA Specificity of a Prokaryotic Repressor" <i>Cell</i> 43:729-736
	BN	Brown, M. <i>et al.</i> (1987) " <i>lac</i> Repressor Can Regulate Expression from a Hybrid SV40 Early Promoter Containing a <i>lac</i> Operator in Animal Cells", <i>Cell</i> , 49:603-612
	BO	Buckbinder L. <i>et al.</i> (1994) "Gene Regulation by Temperature-Sensitive p53 Mutants: Identification of p53 response genes" <i>Proc. Natl. Acad. Sci. USA</i> 91:10640-10644
	BP	Capechi, M.R. (1989) "Altering the Genome by Homologous Recombination", <i>Science</i> 244:1288-1292

Examiner

Joa K

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	CA	Gayrol, C. <i>et al.</i> (1995) "Identification of Cellular Target Genes of the Epstein-Barr Virus Transactivator Zta: Activation of Transforming Growth Factor β h3 (TGF- β h3) and TGF- β 1", <i>Journal of Virology</i> , 69(7):4206-4212
	CB	Chen, Y.Q. <i>et al.</i> (1995) "Tumor Suppression by p21 ^{WAF1} ", <i>Cancer Research</i> , 55:4536-4539
	CC	Coghlan, A. (1995) "Gene dream fades away" <i>New Scientist</i> 148:14-15
	CD	Courey, A.J. and Tjian, R., (1988) "Analysis of Sp1 In Vivo Reveals Multiple Transcriptional Domains, Including a Novel Glutamine-Rich Activation Motif", <i>Cell</i> 55:887-898
JH	CE	Cowell, (1994) "Repression versus activation in the control of gene transcription," <i>Trends in Biochemical Sciences</i> , 19:1, 38-42
	CF	Crystal, R.G. (1995) "Transfer of Genes to Humans: Early Lessons and Obstacles to Success", <i>Science</i> 270: 404-410
JH	CG	Daddona <i>et al.</i> (1984) "Human Adenosine Deaminase." <i>J. Biol. Chem</i> 259: 12101-12106
JH	CH	Damke, H. <i>et al.</i> (1994) "Induction of Mutant Dynamin Specifically Blocks Endocytic Coated Vesicle Formation." <i>The Journal of Cell Biology</i> 127 (4): 915-934
JH	CI	Damke, H. <i>et al.</i> (1995) "Tightly Regulated and Inducible Expression of Dominant Interfering Dynamin Mutant in Stably Transformed HeLa Cells." <i>Methods in Enzymology</i> 257: 209-220
	CJ	Begenkelb, J. <i>et al.</i> (1991) "Structural Requirements of Tetracycline-Tet Repressor Interaction: Determination of Equilibrium Binding Constants for Tetracycline Analogs with the Tet Repressor" <i>Antimicrobial Agents and Chemotherapy</i> 35(8):1591-1595
	CK	Deuschle, U. <i>et al.</i> (1989) "Regulated expression of foreign genes in mammalian cells under the control of coliphage T3 RNA polymerase and <i>lac</i> repressor", <i>Proceedings of the National Academy of Science USA</i> 86:5400-5404
JH	CL	Deuschle <i>et al.</i> (1995) "Tetracycline-reversible silencing of eukaryotic promoters," <i>Mol. Cell. Biol.</i> , 15:4, 1907-1914
	CM	Dhawan, J. <i>et al.</i> (1995) "Tetracycline-Regulated Gene Expression Following Direct Gene Transfer into Mouse Skeletal Muscle", <i>Somatic Cell and Molecular Genetics</i> , 21(4):233-240
JH	CN	Ebert, K.M. <i>et al.</i> (1988) "A Moloney MLV-Rat Somatotropin Fusion Gene Produces Biologically Active Somatotropin in a Transgenic Pig." <i>Molecular Endocrinology</i> 2(3): 277-283
	CO	Efrat, S. <i>et al.</i> (1995) "Conditional Transformation of a Pancreatic β -Cell Line Derived From Transgenic Mice Expressing A Tetracycline-Regulated Oncogene" <i>PNAS. USA</i> , 92:3576-3580
	CP	Epstein-Baak, R. <i>et al.</i> (1992) "Inducible Transformation of Cells from Transgenic Mice Expressing SV40 under <i>Lac</i> Operon Control", <i>Cell Growth & Differentiation</i> 3:127-134
	CQ	Fieck, A. <i>et al.</i> (1992) "Modifications of the <i>E. Coli</i> Lac Repressor for Expression in Eukaryotic Cells: Effect of Nuclear Signal Sequence on Protein Activity and Nuclear Documentation", <i>Nucleic Acids Research</i> 20:1785-1791

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Joan W.

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GH	DA	Fields, S. <i>et al.</i> (1989) "A novel genetic system to detect protein-protein interactions" <i>Nature</i> 340: 245-246
	DB	Figge, J., <i>et al.</i> (1988) "Stringent Regulation of Stably Integrated Chloramphenicol Acetyl Transferase Genes by <i>E. coli lac</i> Repressor in Monkey Cells", <i>Cell</i> 52:713-722
	DC	Fishman G. <i>et al.</i> (1994) "Tetracycline-Regulated Cardiac Gene Expression in Vivo" <i>J. Clin. Invest.</i> 93:1864-1868
	DD	Frankel, F.D. <i>et al.</i> (1988) "Tat Protein from Human Immunodeficiency Virus Forms a Metal-Linked Dimer" <i>Science</i> 240: 70-73
GH	DE	Früh, K. <i>et al.</i> (1994) "Displacement of Housekeeping Proteasome Subunits by MHC-encoded LMP's: a Newly Discovered Mechanism for Modulating the Multicatalytic Proteinase Complex." <i>EMBO Journal</i> 13 (14): 3236-3244
GH	DF	Früh, K. <i>et al.</i> (1995) "A Viral Inhibitor of Peptide Transporters for Antigen Presentation." <i>Nature</i> 375: 415-418
GH	DG	Furth P. (1994) "Temporal Control of Gene Expression in Transgenic Mice By A Tetracycline-Responsive Promoter" <i>Proc. Natl. Acad. Sci. USA</i> 91:9302-9306
GH	DH	Gatz <i>et al.</i> (1992) "Stringent repression and homogeneous de-repression by tetracycline of a modified CaMV 35S promoter in intact transgenic tobacco plants," <i>The Plant Journal</i> , 2(3):397-404
	DI	Gatz, C. <i>et al.</i> (1991) "Regulation of a modified CaMV 35S Promoter by the Tn 10-encoder Tet repressor in Transgenic Tobacco" <i>Mol. Gen. Genet.</i> 227(2):229-237
	DJ	Gatz, C. and P. Quail (1988) "Tn10-Encoded <i>tet</i> Repressor Can Regulate an Operator-Containing Plant Promoter" <i>Proc. Natl. Acad. Sci. USA</i> 85:1394-1397
	DK	Gjetting, T. <i>et al.</i> (1995) "Regulated Expression of the Retinoblastoma Susceptibility Gene in Mammary Carcinoma Cells Restores Cyclin D1 Expression and G ₁ -Phase Control", <i>Biol. Chem. Hoppe-Seyler</i> , 376:441-446
GH	DL	Gossen M. and B. Hermann (1993) "Anhydrotetracycline, A Novel Effector of Tetracycline Controlled Gene Expression Systems In Eukaryotic Cells" <i>Nucleic Acids Research</i> 21(18):4411-4412
GH	DM	Gossen, M., <i>et al.</i> (1993) "Control of gene activity in higher eukaryotic cells by prokaryotic regulatory elements", <i>TIBS</i> 18(12):471-475
GH	DN	Gossen <i>et al.</i> (1995) "Exploiting prokaryotic elements for the control of gene activity in higher eukaryotes," Keystone Symposium on Gene Therapy and Molecular Medicine, Steamboat Springs, Colorado, <i>Journal of Cellular Biochemistry</i> , Supplement 0 (21A), Abstract no. C6-220, 355
GH	DO	Gossen <i>et al.</i> (1994) "Inducible Gene Expression Systems For Higher Eukaryotic Cells" <i>Current Opinion in Biotechnology</i> 5:516-520
	DP	Gossen, M. and Bujard, H. (1992) "Tight control of gene expression in mammalian cells by tetracycline-responsive promoters", <i>Proceedings of the National Academy of Science USA</i> 89:5547-5551
GH	DO	Gossen <i>et al.</i> (1995) "Transcriptional activation by tetracyclines in mammalian cells," <i>Science</i> , 268:5218, 1766-1769

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9H	EA	Gunzburg, W.H. and Salmons, B. (1995) "Virus vector design in gene therapy", <i>Molecular Medicine Today</i> 1: 410-417
	EB	Haase, S.B. et al. (1994) "Transcription Inhibits the Replication of Autonomously Replicating Plasmids in Human Cells", <i>Molecular and Cellular Biology</i> , 14(4):2516-2524
9H	EC	Hammer, R.E. <i>et al.</i> (1986) "Genetic Engineering of Mammalian Embryos." <i>J. Anim. Sci.</i> 63: 269-278
9H	ED	Hecht, B. <i>et al.</i> (1993) "Noninducible Tet Repressor Mutations Map from the Operator Motif to the C Terminus", <i>Journal of Bacteriology</i> 175(4):1206-1210
	EE	Hennighausen, L. <i>et al.</i> (1995) "Conditional Gene Expression in Secretory Tissues and Skin of Transgenic Mice Using the MMTV-LTR and the Tetracycline Responsive System", <i>Journal of Cellular Biochemistry</i> , 59: 463-472
9H	FF	Herschbach B. and A. Johnson (1993) "Transcriptional Repression In Eukaryotes" <i>Annu. Rev. Cell Biol.</i> 9:479-509
	EG	Hillen, W. and Schollmeier, K. (1983) "Nucleotide sequence of the Tn10 encoded tetracycline resistance gene", <i>Nucleic Acids Research</i> 11(2):525-539
9H	EH	Hinrichs, W. <i>et al.</i> (1994) "Structure of the Tet Repressor-Tetracycline Complex and Regulation of Antibiotic Resistance", <i>Science</i> 264:418-420
	EI	Hewe, J.R. <i>et al.</i> (1995) "The Responsiveness of a Tetracycline-Sensitive Expression System Differs in Different Cell Lines", <i>The Journal of Biological Chemistry</i> , 270, No. 23, pp. 14168-14174
9H	EJ	Houdebine, L.-M. (1994) "Production of Pharmaceutical Proteins From Transgenic Animals", <i>Journal of Biotechnology</i> 34:269-287
	EK	Hu, M.C.-T and Davidson, N. (1987) "The Inducible <i>lac</i> Operator-Repressor System Is Functional in Mammalian Cells", <i>Cell</i> 46:555-566
9H	EL	Kao, C.C. <i>et al.</i> (1990) "Cloning of a Transcriptionally Active Human TATA Binding Factor" <i>Science</i> 248: 1646-1650
9H	EM	Kappel, C.A. <i>et al.</i> (1992) "Regulating Gene Expression In Transgenic Animals", <i>Current Opinion In Biotechnology</i> , 3:548-553
9H	EN	Krimpenfort, P. <i>et al.</i> (1991) "Generation of Transgenic Dairy Cattle Using 'in vitro' Embryo Production." <i>BIO/Technology</i> 9:844-847
	EO	Labow, M.A. <i>et al.</i> (1990) "Conversion of the <i>lac</i> Repressor into an Allosterically Regulated Transcriptional Activator for Mammalian Cells", <i>Molecular and Cellular Biology</i> 10(7):3343-3356
9H	EP	Landschulz, W.H. <i>et al.</i> (1989) "The DNA Binding Domain of the Rat Liver Nuclear Protein C/EBP is Bipartite" <i>Science</i> 243: 1681-1688
9H	EQ	Liang <i>et al.</i> (1995) "Enhanced and switchable expression systems for gene-transfer," Keystone Symposium on Gene Therapy and Molecular Medicine, Steamboat Springs, Colorado, <i>Journal of Cellular Biochemistry</i> , Supplement 0 (21A), Abstract no. C6-220, 379
9H	ER	Licht, J. <i>et al.</i> (1990) "Drosophila Krüppel Protein is a Transcriptional Repressor" <i>Nature</i> 346:76-79
9H	ES	Maheswaran, S. <i>et al.</i> (1995) "The WT1 Gene Product Stabilizes p53 and Inhibits p53-mediated Apoptosis." <i>Genes & Development</i> 9: 2143-2156

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9H	FA	Mansour, S.L. <i>et al.</i> (1988) "Disruption of the Proto-Oncogene <i>int-2</i> in Mouse Embryo-Derived Stem Cells: a General Strategy for Targeting Mutations to Non-Selectable Genes" <i>Nature</i> 336:348-352
9H	FB	Marshall, E. (1995) "Gene Therapy's Growing Pains" <i>Science</i> 269: 1050-1055
9H	FC	Mastrelangelo <i>et al.</i> (1996) "Gene Therapy for Human Cancer: An Essay for Clinicians" <i>Seminars in Oncology</i> 23 (1):4-21
9H	FD	McKnight, S.L. (1984) "The Distal Transcription Signals of the Herpesvirus <i>tk</i> Gene Share a Common Hexanucleotide Control Sequence" <i>Cell</i> 37: 253-262
9H	FE	Mendez, B. <i>et al.</i> (1980) "Heterogeneity of tetracycline resistance determinants" <i>Plasmid</i> 3:99-108
	FF	Mermel N. <i>et al.</i> (1989) "The Proline-Rich Transcriptional Activator of CTF/NF-I Is Distinct from the Replication and DNA Binding Domain" <i>Cell</i> 58:741-753
	FG	Miller, K. <i>et al.</i> (1995) "The Function of Inducible Promoter Systems in F9 Embryonal Carcinoma Cells", <i>Experimental Cell Research</i> , 218: 144-150
9H	FH	Muller, G. <i>et al.</i> (1995) "Characterization Of Non-Inducible Tet Repressor Mutants Suggests Conformational Changes Necessary For Induction", <i>Nature Structural Biology</i> , 2 : 693-703
9H	FI	Mullins, L.J. and Mullins, J.J. (1996) "Transgenesis in the Rat and Larger Mammals." <i>J. Clin. Invest.</i> 98(11) Supplement 1996: S37-S40
9H	FJ	Murre, C. <i>et al.</i> (1989) "Interactions Between Heterologous Helix-Loop-Helix Proteins Generate Complexes That Bind Specifically to a Common DNA Sequence" <i>Cell</i> 58: 537-544
9H	FK	Notarianni, <i>et al.</i> (1994) "Production of pharmaceutical proteins from transgenic animals", <i>Journal of Reproduction and Fertility</i> , 41: 51-56
9H	FL	Orkin, S. H. and Motulsky, A.G. (1995) "Report and recommendations of the panel to assess the NIH investment in research on gene therapy" http://www.nih.gov/news/panelrep.html
	FM	Passman, R.S. <i>et al.</i> (1994) "Regulated Expression of Foreign Genes In Vivo After Germline Transfer", <i>J. Clin. Invest.</i> , 94:2421-2425
9H	FN	Pescini R. <i>et al.</i> (1994) "Inducible Inhibition of Eukaryotic Gene Expression" <i>Biochemical and Biophysical Research Communications</i> 202(3):1664-1667
	FO	Postle, K. <i>et al.</i> (1984) "Nucleotide sequence of the repressor gene of the TN10 tetracycline resistance determinant", <i>Nucleic Acids Research</i> 12(12):4849-4863
9H	FP	Pursel <i>et al.</i> (1989) "Genetic engineering of livestock" <i>Science</i> 244 1281-1288
9H	FO	Renkawitz R. (1990) "Transcriptional Repression In Eukaryotes" <i>TIG</i> 6(6):192-193
	FR	Resnitzky D. (1994) "Acceleration of the G1/S Phase Transition by Expression of Cyclins D1 and E with an Inducible System" <i>Molecular and Cellular Biology</i> 14(3):1669-1679
9H	FS	Rubin, <i>et al.</i> (1990) "Interdomain hybrid Tet proteins confer tetracycline resistance only when they are derived from closely related members of the tet gene family." <i>J. Bacteriol.</i> 172:2303-12
9H	FT	Salter, <i>et al.</i> (1987) "Transgenic chickens: insertion of retroviral genes into the chicken germ line" <i>Virology</i> 157: 236-240

Examiner

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GH	GA	Sato, K. et al. (1986) "A specific DNA Sequence Controls Termination of Transcription in the Gastrin Gene" <i>Molecular and Cellular Biology</i> 6(4): 1032-1043
GH	GB	Sauer, F. and H. Jäckle (1993) "Dimerization and the Control of Transcription by Krüppel" <i>Nature</i> 364:454-457
GH	GC	Seamark, R.F. (1994) "Progress and Emerging Problems in Livestock Transgenesis: a Summary Perspective." <i>Reprod. Fertil. Dev.</i> 6: 653-657
	GD	Seipel, K., et al. (1992) "Different activation domains stimulate transcription from remote ('enhancer') and proximal ('promoter') positions", <i>The EMBO Journal</i> 11(13):4961-4968
GH	GE	Shan, B., et al. (1994) "Deregulated Expression of E2F-1 Induces S-Phase Entry and Leads to Apoptosis." <i>Molecular and Cellular Biology</i> 14(12): 8166-8173
GH	GF	Sizemore, C. et al. (1990) "Quantitative Analysis of Tn10 Tet Repressor Binding To A Complete Set Of Tet Operator Mutants", <i>Nucleic Acids Research</i> , 18(10): 2875-2880
	GG	Smithies, O. et al. (1985) "Insertion of DNA sequences into the human chromosomal β -globin locus by homologous recombination", <i>Nature</i> 317:230-234
	GH	Sopher, B.L. et al. (1994) "Cytotoxicity Mediated By Conditional Expression of a Carboxyl-Terminal Derivative of the β -Amyloid Precursor Protein", <i>Molecular Brain Research</i> , 26:207-217
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